



The International  
Investment Funds  
Association

**APPLICATION FORM FOR MEMBERSHIP  
THE INTERNATIONAL INVESTMENT FUNDS ASSOCIATION**

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## **General Guidelines**

The International Investment Funds Association (IIFA) recognizes the important role of investment funds globally and the responsibilities of such funds to their investors. Accordingly, IIFA is dedicated to –

- a. exchanging information and views on the investment funds industry among members with regard to the investment fund industry's development and the legal, regulatory, and fiscal environments in which it operates, and discuss issues, opportunities, and challenges it faces;
- b. collecting and disseminating statistical data obtained from domestic associations regarding the investment funds industry in countries represented by the Association;
- c. informing the Association's members of regulatory developments that may affect investment funds and their investors, including through the organization of an annual conference;
- d. undertaking, where appropriate, specific projects or, subject to member consensus, articulating views on matters of common interest through special working groups; and
- e. establishing friendly relationships among the Association's members in order to facilitate appropriate exchanges of information with respect to obstacles to marketing of funds and cross-border investments by funds and to the establishment of high ethical standards by all elements of the industry.

### ***Advantages linked to IIFA Membership:***

- Access to detailed quarterly international statistics
- Access to member website
- Conference attendance and networking opportunities
- Opportunity to participate on committees to work on issues of common interest

### ***Expectations of Members:***

- Provide or indicate intention to participate in the quarterly international statistics
- Pay fees to maintain membership and support Association activities
- Attend IIFA annual conference and spring meetings
- Keep contact information for association's personnel, and general regulatory information about the member's jurisdiction, up-to-date on IIFA's website
- Contribute as much as possible to requests for information from other IIFA members and to IIFA member surveys
- Contribute to discussion panels during the IIFA conference when requested

***Membership fees:***

Fees are based on a two tier approach: the 20 largest members (based on AUM as reported by IIFA at year-end) are in Tier 1, and all other members are in Tier 2. Currently, Tier 1 fees are \$3,900 CAD per year and Tier 2 fees are \$1,700 CAD per year. Members resigning their membership during the year are not entitled to any refund of fees paid.

**Eligibility Criteria**

Membership to IIFA is open to any recognized investment funds association for a particular jurisdiction or an appropriate representative of an identifiable investment funds industry, subject to Board Review.

**Decision Criteria**

In assessing the application, the IIFA Board will rely on the following criteria:

1. There is formal regulation of the management and distribution of investment funds in the applicant's jurisdiction;
2. The applicant is a recognized investment fund association;
3. The applicant is willing to abide by the IIFA bylaws, pay the annual membership fees and participate in data collection for international statistics;
4. The applicant is willing to contribute to the general work and business of IIFA

## Application Form for IIFA Membership

### Association:

Name: \_\_\_\_\_

Address:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Phone number: \_\_\_\_\_

Fax number: \_\_\_\_\_

Website address: \_\_\_\_\_

Email address: \_\_\_\_\_

### Association Contact Person:

Name: \_\_\_\_\_

Phone number: \_\_\_\_\_

Title: \_\_\_\_\_

Fax number: \_\_\_\_\_

Email: \_\_\_\_\_

Assistant name: \_\_\_\_\_

Assistant email: \_\_\_\_\_

Contact Person's Company address (if different from Association):

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

1. Is there is formal regulation on investment funds management and distribution in your country? Please describe.

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2. Is the applicant is a recognized investment fund association? Please develop.

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3. Has the applicant read the above conditions for membership to IIFA and by-laws, and is the applicant willing to abide by the IIFA bylaws, pay the annual membership fees and participate to data collection for international statistics? Please develop.

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4. Is the applicant willing to contribute to the general work and business of IIFA? Please develop.

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I am an authorized representative of the above-mentioned association and I declare the above to be a truthful representation of our country and association' situation.

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Your name and title

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Date

**PLEASE EMAIL OR FAX THIS APPLICATION TO THE IIFA SECRETARIAT:**

**[hobrien@iifa.ca](mailto:hobrien@iifa.ca) or fax no. + 1 416 861-9937**